



Meeting Date: 9/1/2009

ACTION	TYPE OF ITEM
<input type="checkbox"/> Approved Recommendation	<input type="checkbox"/> Info/Consent
<input type="checkbox"/> Ord. No(s). _____	<input checked="" type="checkbox"/> Report
<input type="checkbox"/> Res. No(s). _____	<input type="checkbox"/> Public Hearing (Info/consent)
<input type="checkbox"/> Other _____	<input type="checkbox"/> Other _____

Prepared By: Cynthia Daniels, AICP *CD* Agenda Item No. 0-1

Reviewed By: City Manager *[Signature]* City Attorney *[Signature]* Finance *[Signature]* Other (Specify) _____

DATE: August 20, 2009

TO: City Council

FROM: Cynthia Daniels *Cynthia Daniels*
Development Services Department

SUBJECT: Special Budget Appropriation for Rice Avenue/Santa Clara Avenue Interchange Improvements At Highway 101

RECOMMENDATION

That City Council recognize federal and State grant revenue and appropriate \$51,512,634 to “Highway 101—Rice Interchange” for the Rice Avenue/Santa Clara Avenue interchange improvements at U.S. Highway 101.

DISCUSSION

Background. On February 26, 2002 the City Council adopted Resolution 12,099 adopting a mitigated negative declaration and mitigation monitoring program, and approving the project to improve the Rice Avenue/Santa Clara Avenue interchange at U.S. Highway 101. The City Council adopted four resolutions of necessity to condemn properties within the project area. City Council approved Agreement No. A-7061 on June 17, 2008 with the California Transportation Commission (CTC) to establish the conditions for funding from the Trade Corridors Improvement Fund (TCIF). City Council Resolution 13,490 adopted on June 17, 2008 declared the City will secure the funds for any additional costs of the project above the \$30,449,000 provided from the TCIF. City Council Resolution 10,311 adopted on January 14, 1992 declared the City’s intent to reimburse City funds used for certain capital costs of the project from proceeds of tax-exempt or taxable indebtedness. City Council approved the Project Specification PW03-19 on June 2, 2009.

The Project. The project will reconstruct and widen the existing Rice Avenue/Santa Clara Avenue bridge over Highway 101 from two to six through lanes (plus two southbound left turn lanes), reconfigure the existing U.S. 101 on- and off-ramps, and realign Ventura Boulevard. Construction is estimated to require about 4 years because of Verizon’s work to relocate hundreds of telephone connections by hand. The new bridge will include decorative lighting and bridge rails similar to the Oxnard Boulevard/Highway 101 and Rose Avenue/Highway 101 overcrossings, and public art on the abutment walls facing the highway. Irrigated landscaping will be installed throughout the project. No sound walls are proposed because the majority of adjacent property owners did not want sound walls. The improvements are designed to reduce traffic congestion and delays by increasing roadway capacity to accommodate traffic and provide a better route for trucks traveling to and from the Port of Hueneme

for 20 years.

Project Budget. The current project budget of \$31,502,174 provided funding for right of way acquisition, design, utility relocations, and other preliminary work. The June 2009 staff report indicated the project budget would be presented to City Council at a later date. The current request recognizes revenue from several federal and State sources of \$51,512,634 for a total project budget of \$83,014,808. The project construction budget estimate is \$60,898,000.

The CTC voted to allocate \$30,449,000 from the TCIF to the Rice/101 project on May 14, 2009. The City must award a construction contract within six months of the CTC's allocation. The City would be reimbursed from TCIF for up to 50 percent of the cost of construction (a maximum of \$30,449,000). The project will receive reimbursement up to \$21,063,634 from a combination of federal sources. The federal sources are \$5,000,000 from the American Recovery and Reinvestment Act of 2009 (ARRA) funds and \$16,063,634 from two federal transportation acts, the "Transportation Equity Act for the 21st Century" (TEA-21) and the "Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users" (SAFETEA-LU). The City filed a request with Representative Lois Capps for funding from the next federal transportation bill for the project, which is pending approval by Congress. The ARRA funding requires no match; the other federal funding sources require a match of 20 percent. The federal and State funding can be used to match each other.

Attachment 2 identifies the federal funding by phase of the project: preliminary engineering, right of way, and construction. Attachment 3 is the summary of the project budget showing a projected shortfall of \$6,641,452. Final costs will depend on the results of the bidding and award of the construction contract, and the cost for construction project management services. Staff has identified potential sources of funding for the shortfall if it occurs. Staff will return to the City Council after the bids are opened if additional funding is required for the project construction.

FINANCIAL IMPACT

Federal and State funds in the amount of \$51,512,634 are recommended for allocation to Project No. 873114. ARRA funds in the amount \$5,000,000 will be allocated to Fund 276. Federal TEA-21 funds in the amount of \$10,924,275 will be allocated to Fund 265. Federal SAFETEA-LU funds in the amount of \$5,139,359 will be allocated to Fund 275. State TCIF funds in the amount of \$30,449,000 will be allocated to Fund 210.

Attachment #1 -Special Budget Appropriation

Attachment #2 -Summary of Federal Funds for Construction , Right of Way, & Engineering

Attachment #3 -Project Budget Summary

CITY OF OXNARD

REQUEST FOR SPECIAL BUDGET APPROPRIATION

To the City Manager:

September 1, 2009

Request is hereby made for an appropriation of total

\$ 51,512,634

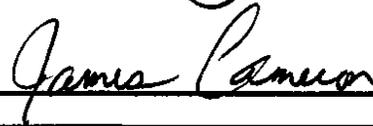
Reason for appropriation: Recognize federal and State grant revenue and appropriate funds for Highway 101-Rice Interchange

<u>FUND</u>	<u>DESCRIPTION/ACCOUNT</u>	<u>AMOUNT</u>
Hwy 101-Rice Interchange		
TRANSPORTATION GRNT-STATE		
	210-9718	
210	826.7233 Caltrans Grant Revenues (Trade Corridors Improvement Fund)	(30,449,000)
	826.8640 Improvements Other Than Bldgs-New - (Project 873114)	<u>30,449,000</u>
	Net Effect	0
TEA-XXI-1998 Grant		
	265-9718	
265	531.7209 Other Federal Grant (TEA-21 Revenue)	(10,924,275)
	826.8620 Right of Way - (Project 873114)	1,256,000
	826.8207 Right of Way Services - (Project 873114)	490,200
	826.8280 Utility Expense Electric - (Project 873114)	679,900
	826.8281 Utility Expense Gas - (Project 873114)	196,900
	826.8640 Improvements Other Than Bldgs-New - (Project 873114)	7,305,121
	826.8208 Svcs Construction - (Project 873114)	<u>996,154</u>
	Net Effect	0
Multi-Year Federal Grants		
	275-9718	
(275)	531.7201 - Federal Grant Revenue (SAFETEA-LU Revenue)	(5,139,359)
	826.8640 Improvements Other Than Bldgs-New - (Project 873114)	4,522,636
	826.8208 Svcs Construction - (Project 873114)	<u>616,723</u>
	Net Effect	0
	276-9718	
(276)	531.7209 Other Federal Grant (ARRA Revenue)	(5,000,000)
	826.8640 Improvements Other Than Bldgs-New - (Project 873114)	4,400,000
	826.8208 Svcs Construction - (Project 873114)	<u>600,000</u>
	Net Effect	<u>0</u>



Manager

CHIEF FINANCIAL OFFICER



James Cameron

REQUIRES CITY COUNCIL APPROVAL

Disposition	Approved _____
Transfer by Journal Voucher _____	Rejected _____
	_____ City Manager

Summary of Federal Funds for Construction, Right of Way, and Engineering

	Latest Authorizations	Previous Authorizations	Total Federal Funds
TEA-21 STPL 5129 (012)			
Preliminary Engineering	\$ -	\$ 2,105,599.00	
Right of Way	\$ 1,746,200.00	\$ 4,231,401.00	
Utility relocations (Edison, Gas Co.)	\$ 876,800.00		
Construction	\$ 7,305,121.00		
Construction Engineering	\$ 996,154.00		
Subtotal	\$ 10,924,275.00	\$ 6,337,000.00	\$ 17,261,275.00
SAFETEA-LU			
HPLLUL 5129(051)			
Right of Way	\$ -	\$ 970,001.00	
Utility relocations	\$ -		
Construction	\$ 1,237,046.00		
Construction Engineering	\$ 168,689.00		
Subtotal	\$ 1,405,735.00	\$ 970,001.00	\$ 2,375,736.00
HPLLUL 5129(056)			
Utility relocations	\$ -		
Construction	\$ 2,977,590.00		
Construction Engineering	\$ 406,034.00		
Subtotal	\$ 3,383,624.00		\$ 3,383,624.00
TILLUL 5129(057)			
Utility relocations	\$ -		
Construction	\$ 308,000.00		
Construction Engineering	\$ 42,000.00		
Subtotal	\$ 350,000.00		\$ 350,000.00
ESPL 5129(058)			
Utility relocations	\$ -		
Construction	\$ 4,400,000.00		
Construction Engineering	\$ 600,000.00		
Subtotal	\$ 5,000,000.00		\$ 5,000,000.00
Current Federal Allocation	\$ 21,063,634.00	\$ 7,307,001.00	\$ 28,370,635.00

Rice Ave/Santa Clara Ave Interchange
Total Project Cost
Estimate

Rice/101 Funding Sources and Costs FY 09-10		9/1/2009	Future Budget	Total Budget
Fund No.	Funding (Revenue)	City Budget	Additional Funds	
275	ISTEA	\$ 1,500,000.00	\$ -	
265	TEA 21	\$ 4,837,000.00	\$ 10,924,275.00	
275	SAFETEA-LU & transfers from other federal projects	\$ 970,001.00	\$ 1,405,735.00	
275	Funds from new federal legislation		\$ 3,383,624.00	
275	VCTC Funds from regional share	\$ -	\$ 350,000.00	
308 & 514	Assessment District No. 2001-1	\$ 15,813,955.00		
354	Circulation System Improvement Fund	\$ 1,493,941.00		
118	Air Pollution Buy-Down Fund	\$ 525,829.00		
184	Transportation Development Fund	\$ 291,502.00		
212	TDA LTF 8-CIP Fund-99400a	\$ 2,631,501.00		
213	TDA LTF 4-CIP Fund-99400c	\$ -		
301	Capital Outlay Fund	\$ 1,760,687.00		
611	Wastewater Collection Operating (for Rice sewer line design)	\$ 31,600.00		
219	Leaking Underground Fuel Tank Fund (Reimbursements)	\$ 838,158.00		
TBD	Trade Corridors Improvement Fund (Construction Only)		\$ 30,449,000.00	
276	ARRA (Stimulus) (Construction Only)		\$ 5,000,000.00	
450	HERO	\$ 808,000.00		
	Subtotal	\$ 31,502,174.00	\$ 51,512,634.00	
	Total			\$ 83,014,808.00

Rice Ave/Santa Clara Ave Interchange
Total Project Cost
Estimate

Rice/101 Funding Sources and Costs FY 09-10		
Summary Fiscal Year 2009-10		
Estimated Revenue with All Grants		
Current Budget	\$	31,502,174
Future Revenues	\$	51,512,634
Total	\$	83,014,808
Estimated Expenses		
Design, Environmental Review (completed)	\$	4,995,837
Right of Way & Relocations Estimate	\$	20,352,541
Hazardous Waste Mitigation Estimate	\$	1,651,916
Construction Phase	\$	60,898,000
Subtotal	\$	87,898,294
Indirect Cost at 2%	\$	1,757,966
Total	\$	89,656,260
Potential Shortfall (Revenue Minus Expenses)	\$	(6,641,452)